

## WE CLAIM:

1. An equalization apparatus for automatically equalizing serial communication over a communication channel comprising:
  - 5 i) an adaptive transmit equalizer to receive an outgoing serial data stream and provide launch data into the communication channel, the launch data equalized in response to transmit control parameters;
  - ii) an adaptive receive equalizer to receive an  
10 incoming data stream from the communication channel and condition the received incoming data stream to produce an equalized output serial data stream in response to receive control parameters; and
  - iii) control means operable to control said adaptive  
15 transmit equalizer and said adaptive receive equalizer based on said incoming data stream.
2. The apparatus of claim 1 wherein the adaptive transmit  
20 equalizer has a symbol spaced feed forward equalizer with two taps corresponding to the cursor and pre-cursor.
3. The apparatus of claim 1 wherein the adaptive transmit  
equalizer has a two co-efficient Finite Impulse Response (FIR)  
filter symbol spaced feed forward equalizer with two taps  
25 corresponding to the cursor and pre-cursor the output of which is the launch data.
4. The apparatus of claim 1 wherein the adaptive transmit  
equalizer has a symbol spaced feed forward equalizer with a tap  
30 corresponding to the cursor and M pre-cursor taps.

5. The apparatus of claim 1 wherein the adaptive transmit equalizer has an M co-efficient Finite Impulse Response (FIR) filter that sums a portion of the cursor and the output of a symbol spaced feed forward equalizer with M taps each tap  
5 corresponding to a successively earlier pre-cursor up to the Mth pre-cursor to produce the launch data.
6. The apparatus of claim 1 wherein the adaptive receive equalizer includes an adaptive linear equalizer in combination  
10 with an adaptive non-linear decision feedback equalizer (DFE) to condition the received incoming data into said equalized output serial data stream.
7. The apparatus of claim 6 wherein said linear equalizer  
15 includes two distinct signal paths to condition the received incoming data, one signal path is a controllable pure gain stage and the other signal path is independently controllable pure gain stage coupled to a high-pass filter, the combined output of both is gain adjusted and supplied to said adaptive non-linear decision  
20 feedback equalizer (DFE).
8. The apparatus of claim 6 wherein said DFE has a plurality of symbol spaced taps, each of which can be programmed independently.  
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9. The apparatus of claims 6, 7 or 8 wherein the control means includes a data slicer, a positive offset monitor slicer and a negative offset monitor slicer, each slicer coupled to said equalized output serial data stream for producing said transmit  
30 control parameters and said receive control parameters.

10. The apparatus of claims 6, 7 or 8 wherein the control means includes a data slicer providing input to a data demultiplexer, a positive offset monitor slicer providing input to a positive monitor demultiplexer and a negative offset monitor slicer providing input to a negative monitor demultiplexer, each slicer coupled to said equalized output serial data stream wherein the demultiplexers provide inputs for producing said transmit control parameters and said receive control parameters.
11. The apparatus of claims 6, 7 or 8 wherein the control means includes a data word to store data channel bits, a positive monitor word to store positive monitor channel bits and a negative monitor word to store negative monitor channel bits, each said word stores bits responsive to the output of a respective slicer coupled to said equalized output serial data stream wherein said transmit control parameters and receive control parameters are determined by the bit values.
12. The apparatus of claims 6, 7 or 8 wherein the control means includes a data word to store data channel bits, a positive monitor word to store positive monitor channel bits and a negative monitor word to store negative monitor channel bits, each said word stores bits responsive to the output of a respective slicer coupled to said equalized output serial data stream and a bit offset generator to define a window of data wherein said transmit control parameters and receive control parameters are determined by the bit values in said window of data.
13. The apparatus of claims 6, 7 or 8 wherein the control means includes a data word to store data channel bits, a positive monitor word to store positive monitor channel bits and a negative

monitor word to store negative monitor channel bits, each said word stores bits responsive to the output of a respective slicer coupled to said equalized output serial data stream and a pseudo random bit offset generator to define a window of data wherein  
5 said transmit control parameters and receive control parameters are determined by the bit values in said window of data.

14. An equalization apparatus for automatically equalizing serial communication over a communication channel comprising:
- 10 i) an adaptive transmit equalizer including a symbol spaced feed forward equalizer with a first tap to condition a cursor symbol corresponding to a tap parameter  $C_0$  and  $M$  additional taps to condition each successively earlier pre-cursor symbol corresponding to a respective tap parameter  $C_M \dots C_1$  to receive  
15 an outgoing serial data stream and output launch data into the communication channel;
- ii) an adaptive receive equalizer to receive an incoming data stream from the communication channel including:
- a controllable filter including a high-pass filter  
20 coupled to a controllable pure gain stage to condition the received incoming data stream corresponding to a gain parameter  $G_{HF}$ ;
  - a summing node to sum the output of said controllable filter with the received incoming data stream that is gain adjusted corresponding to a gain parameter  $G_{DC}$ ;
  - 25 - a controllable pure gain stage coupled to the output of said summing node to provide an output at a gain corresponding to a gain parameter  $G_{AGC}$ ;
  - an  $N$  tap adaptive non-linear decision feedback equalizer (DFE) coupled to the output of the  $G_{AGC}$  stage providing  
30  $N$  symbol spaced taps, each of which can be programmed

independently corresponding to a respective tap parameter  $C_1$ ,  $C_2$  ...  $C_N$ ; and

- iii) a demux and tap update block controller coupled to the output of said adaptive receive equalizer to produce said gain and tap parameters  $G_{HF}$ ,  $G_{AGC}$  and  $C_{-1}$ ,  $C_0$  ...  $C_N$ .

15. The apparatus of claim 14 wherein said demux and tap update block controller further includes a data slicer, a positive offset monitor slicer and a negative offset monitor slicer each coupled to the output of said adaptive receiver.

16. The apparatus of claim 14 wherein said demux and tap update block controller includes a data slicer providing input to a data demultiplexer, a positive offset monitor slicer providing input to a positive monitor demultiplexer and a negative offset monitor slicer providing input to a negative monitor demultiplexer, each slicer coupled to said equalized output serial data stream wherein the demultiplexers provide inputs for producing said gain and tap parameters.

17. The apparatus of claim 14 wherein said demux and tap update block controller includes a data slicer providing input to a data demultiplexer, a positive offset monitor slicer providing input to a positive monitor demultiplexer and a negative offset monitor slicer providing input to a negative monitor demultiplexer, each slicer coupled to said equalized output serial data stream and a bit offset generator to define a window of data in said data demultiplexer, said positive monitor demultiplexer and said negative monitor demultiplexer wherein said gain and tap parameters are determined by the bit values in said window of data.

18. The apparatus of claim 14 wherein said demux and tap  
update block controller includes a data slicer providing input to a  
data demultiplexer, a positive offset monitor slicer providing input  
5 to a positive monitor demultiplexer and a negative offset monitor  
slicer providing input to a negative monitor demultiplexer, each  
slicer coupled to said equalized output serial data stream and a  
pseudo random bit offset generator to define a window of data in  
said data demultiplexer, said positive monitor demultiplexer and  
10 said negative monitor demultiplexer wherein said gain and tap  
parameters are determined by the bit values in said window of  
data.

19. The apparatus of claim 14 wherein said demux and tap  
15 update block controller includes a data word to store data channel  
bits, a positive monitor word to store positive monitor channel  
bits and a negative monitor word to store negative monitor channel  
bits, each said word stores bits responsive to the output of a  
respective slicer coupled to said equalized output serial data  
20 stream and a bit offset generator to define a window of data in  
said data word, said positive monitor word and said negative  
monitor word wherein said gain and tap parameters are  
determined by the bit values.

20. The apparatus of claim 14 wherein said demux and tap  
25 update block controller includes a data word to store data channel  
bits, a positive monitor word to store positive monitor channel  
bits and a negative monitor word to store negative monitor channel  
bits, each said word stores bits responsive to the output of a  
30 respective slicer coupled to said equalized output serial data  
stream and a pseudo random bit offset generator to define a

window of data in said data word, said positive monitor word and said negative monitor word wherein said gain and tap parameters are determined by the bit values.

5 21. A method for automatically equalizing serial communication over a communication channel comprising:

- i) equalizing an outgoing serial data stream to provide launch data into the communication channel in response to transmit control parameters;
- 10 ii) conditioning an incoming data stream received from the communication channel to produce an equalized output serial data stream in response to receive control parameters;
- iii) recovering the serial data stream from the equalized output serial data stream;
- 15 iv) producing a symbol spaced error signal indicative of a difference between the equalized output serial data stream and a desired value over a symbol period; and
- v) using the error signal to produce the transmit control parameters and the receive control parameters.

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22. The method of claim 21 further including the steps of:

- i) over a plurality of symbol periods:
  - storing the recovered serial data stream in a data channel word;
  - 25 - storing the error signal produced in a monitor channel word; and
- ii) producing the transmit control parameters and the receive control parameters from selected portions of said stored recovered serial data stream and said stored error signal.

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23. The method of claim 22 further including the step of generating a bit offset to define a window of data in said data channel word and said monitor channel word whereby the step of producing the transmit control parameters and the receive control parameters is based on said data window defining said selected portions of said stored recovered serial data stream and said stored error signal determined by said bit offset.

24. The method of claim 23 wherein a pseudo random bit offset is generated each time said transmit control parameters and said receive control parameters are produced.

25. A method for automatically equalizing serial communication over a communication channel comprising the steps of:

- i) using an M tap feed forward equalizer to shape an outgoing data stream into a launch data stream based on a respective pre-cursor symbol tap parameter  $C_{-M} \dots C_{-1}$  and a symbol tap parameter  $C_0$ ;
- ii) supplying the launch data stream to the communication channel;
- iii) receiving an incoming data stream from the communication channel;
- iv) conditioning the received incoming data stream by passing the incoming data stream through an adaptive linear equalizer and an N Tap Decision Feedback Equalizer (DFE) with corresponding symbol spaced tap parameters  $[C_{-M}:C_N]$  to produce an equalized serial data stream;
- v) sampling the equalized serial data stream to latch user data;



vi) sampling the equalized serial data stream to latch monitor data; and

vii) producing the tap parameters  $[C_M:C_N]$  from the user data and monitor data.

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26. The method of claim 25 further including the step of producing gain parameters  $G_{DC}$ ,  $G_{HF}$  and  $G_{AGC}$  from the user data and monitor data and wherein the step of conditioning the received incoming data stream by passing the incoming data stream through an adaptive linear equalizer includes the steps of:

10 i) supplying the incoming data stream to a first signal path through a high-pass filter coupled to a controllable pure gain stage at a gain corresponding to a gain parameter  $G_{HF}$  and to a second signal path through a controllable pure gain stage coupled at a gain corresponding to a gain parameter  $G_{DC}$ ;

15 ii) summing the outputs of said first and second signal paths; and

iii) supplying the said sum of the outputs to a controllable pure gain stage at a gain corresponding to a gain parameter  $G_{AGC}$  to produce the input for said an N Tap Decision Feedback Equalizer (DFE).

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27. The method of claim 25 further including the steps of:

25 i) over a plurality of symbol periods:  
- storing the latched user data in a data channel word;  
- storing the latched monitor data in a monitor channel word; and

30 ii) producing the symbol spaced tap parameters from selected portions of said stored user data and said stored monitor data.

28. The method of claim 27 further including the step of generating a bit offset to define a window of data in said data channel word and said monitor channel word whereby the step of  
5 producing the symbol spaced tap parameters is based on said data window defining said selected portions of said stored user data and said stored monitor data determined by said bit offset.

29. The method of claim 28 wherein a pseudo random bit  
10 offset is generated each time said symbol spaced tap parameters are produced.